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## JOB DESCRIPTION

**Role:** Senior Compliance Associate – Capital Markets

**Expertise:** Prudential, MiFID

**Location:** Belfast, on a hybrid basis, we are a collaborative team and enjoy working remotely and in person together.

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### A leading Governance Risk and Compliance Consultancy

#### ABOUT FSCOM

fscom is one of the leading specialist consulting firms providing governance, risk, and compliance solutions to the asset management, capital markets, digital assets and payments industries across UK and Europe.

Over the last twelve years we have built a reputation for providing high quality advice to our clients, with our focus on being the leading subject matter experts in our field meaning that we have become widely recognised as the premier compliance consulting firm to the financial services sector.

At fscom, we take a commercial approach to help our clients grow their business in a compliant way providing in-depth regulatory insight and industry best practice in all areas: authorisation, assurance, financial crime, consumer protection, risk management, information security, and regulatory due diligence.

Often, our clients are exciting global brands at the cutting edge of innovation, where there is the opportunity to gain exposure undertaking varied and intellectually stimulating work, and to build relationships, becoming our clients' trusted advisors.

This is an exciting time to be joining fscom and be part of a successful, thriving business. We are on an ambitious and exciting growth journey having forged a strategic partnership with one of Europe's leading growth investors Bridgepoint in October 2022.

Our people are at the heart of our business and our success, and we are excited about the opportunities available to our current and prospective colleagues.

#### THE ROLE

fscom's fast growing Capital Markets team helps sell-side and market infrastructure firms address today's pressing regulatory challenges, from day-to-day to regulatory change. The team is seeing significant demand from clients around prudential expertise and is seeking to add to its existing prudential capability, currently led by an ex-FCA prudential regulator.

As a Senior Compliance Associate, you will be responsible for delivering support to our clients, and for providing them with guidance, advice and valuable insight. You will work as part of a team and alongside senior consultants and under the supervision of trailblazers and experts in this industry.

With our deep domain expertise within the Capital Markets industry, we will enable you to have the opportunity to engage in a variety of advisory, authorisation and assurance projects across a diverse range of exciting and cutting-edge clients.

The role is a great development opportunity for individuals who wish to learn from the best, work with our experts and challenge themselves in innovating and developing with our clients. We are looking for candidates who demonstrate initiative, diligence in their work and analysis, and have the drive to learn and bring fresh approaches and develop their knowledge.

## RESPONSIBILITIES

- ✓ Lead the provision of high-quality compliance work products to our clients
- ✓ Support fscom clients with ICARA's, and related prudential reporting, processes, including drafting, reviewing and challenging.
- ✓ Support our prudential go to market strategy, including contributing to thought leadership, attending relevant industry events, networking, and supporting the development of new and innovative ways to support firms with their prudential challenges
- ✓ Maintain broad knowledge and expertise of relevant regulation in order to offer guidance and thorough reviews of clients' governance, systems and controls, reporting arrangements, assessments of their compliance with relevant regulations, guidelines and understanding best practice
- ✓ Keep abreast of advancements within the profession, legislation and regulation in the UK with a view of implementing changes to increase engagement efficiency, increased client scope, and in order to meet clients' needs and mandates
- ✓ Support the development of key relationships with fscom client stakeholders with a view to generating work from these prospective clients for the firm
- ✓ Maximise the capabilities of fscom delivery team in provision of regulatory services through the provision of coaching, training and ongoing mentoring and feedback in order to develop individual team members
- ✓ Oversee and conduct onsite and remote activities to advise, assess, analyse and report in line with the engagement project requirements and using relevant frameworks and regulations.

## ESSENTIAL CRITERIA

- ✓ 3+ years of experience in financial services regulatory environment interpreting and/or applying regulatory requirements
- ✓ Ability to deliver pragmatic and practical solutions to business problems
- ✓ Ability to make complex requirements simple and approachable
- ✓ Strong analytical skills, with the ability to think critically for building solutions for the company
- ✓ Experience of influencing senior managers and persuading senior people to implement change
- ✓ Effective communicator with a track record of succinct and clear writing and presentation skills
- ✓ Excellent attention to detail
- ✓ High degree of personal drive and motivation to succeed
- ✓ Demonstrable project management skills

## DESIRABLE CRITERIA

- ✓ Evidence of further professional development such as ACAMS, ICA OR CISI memberships

*fscom reserves the right to expand the criteria for this role dependent upon the number of applications received.*

### **WHAT WILL I GET FROM THE ROLE?**

You will have the opportunity to work for an award-winning consultancy business, with award winning experts in their field and with high-profile clients. This is an exciting opportunity to work with fscom at a critical time in our journey as we continue to grow and expand.

Our culture is friendly and promotes a stimulating working environment, where you will have a challenging and autonomous role that is critical to successful business delivery.

We will reward you with fast tracked opportunity and a competitive benefits package.

We are an equal opportunities employer, committed to Diversity & Inclusion and currently hold the Bronze standard in Diversity Mark NI.

### **To apply:**

If you are interested in this role, please forward your CV to [careers@fscom.co](mailto:careers@fscom.co) or speak to [Stuart Grimmond](#) on 028 9042 5451.



**Diversity Mark**