



JOB DESCRIPTION

Role: Manager – Asset Management

Expertise: UCITS, SICAV, FCA rules, FinCrime, Regulatory Reporting, Governance, Financial Promotions, Risk Management

Location: Belfast or London, on a hybrid basis, we are a collaborative team and enjoy working remotely and in person together.

A leading Governance Risk and Compliance Consultancy

ABOUT FSCOM

fscom is one of the leading specialist consulting firms providing governance, risk, and compliance solutions to the asset management, capital markets, digital assets and payments industries across UK and Europe.

Over the last twelve years we have built a reputation for providing high quality advice to our clients, with our focus on being the leading subject matter experts in our field meaning that we have become widely recognised as the premier compliance consulting firm to the financial services sector.

At fscom, we take a commercial approach to help our clients grow their business in a compliant way providing in-depth regulatory insight and industry best practice in all areas: authorisation, assurance, financial crime, consumer protection, risk management, information security, and regulatory due diligence.

Often, our clients are exciting global brands at the cutting edge of innovation, where there is the opportunity to gain exposure undertaking varied and intellectually stimulating work, and to build relationships, becoming our clients' trusted advisors.

This is an exciting time to be joining fscom and be part of a successful, thriving business. We are on an ambitious and exciting growth journey having forged a strategic partnership with one of Europe's leading growth investors Bridgepoint in October 2022.

Our people are at the heart of our business and our success, and we are excited about the opportunities available to our current and prospective colleagues.

THE ROLE

Aligned to our scaling plans within our Asset Management practice, we are looking to appoint an individual who will play a key role in delivering regulatory advice and services to our growing Wealth and Investment Management client base.

RESPONSIBILITIES

- ✓ Maintain extensive knowledge and expertise of all relevant regulation in order to offer guidance and thorough reviews of clients' governance, systems and controls, reporting arrangements, assessments of their compliance with relevant regulations, guidelines and understanding best practice
- ✓ Keep abreast of advancements within the profession, legislation and regulation across UK & Ireland with a view of implementing changes to increase engagement efficiency, increased client scope, and in order to meet clients' needs and mandates
- ✓ Devise and lead compliance training for fscom client staff
- ✓ Developing key relationships with fscom client stakeholders with a view to generating work from these prospective clients for the firm
- ✓ Network widely within the firm amongst those currently and potentially providing services to other clients
- ✓ Engage and network with prospective clients and represent fscom at industry relevant events and conferences
- ✓ Maximise the capabilities of fscom delivery team in provision of regulatory services through the provision of coaching, training and ongoing mentoring and feedback in order to develop individual team members
- ✓ Oversee and conduct onsite and remote activities to advise, assess, analyse and report in line with the engagement project requirements and using relevant frameworks and regulations.
- ✓ Develop presence as a "thought leader" through writing blogs/white papers, speaking engagements and networking at key industry events

ESSENTIAL CRITERIA

- ✓ 5+ years of Compliance experience, preferably in a wealth or investment manager
- ✓ Knowledge of an experience in dealing in UCITS/COLL regulations
- ✓ Ability to deliver pragmatic and practical solutions to business problems
- ✓ Ability to make complex requirements simple and approachable
- ✓ Strong analytical skills, with the ability to think critically for building solutions for the company
- ✓ Experience of influencing senior managers and persuading senior people to implement change
- ✓ Effective communicator with a track record of successfully building relationships and trust across different geographies
- ✓ Good knowledge of the FCA requirements in relation to Sales, Financial Promotions, Prudential requirements for firms, and dealing with vulnerable customers
- ✓ Excellent attention to detail
- ✓ High degree of personal drive and motivation to succeed
- ✓ Confident, articulate, exceptional presentation skills
- ✓ Demonstrable programme and project management skills

DESIRABLE CRITERIA

- ✓ Evidence of further professional development such as ACAMS, ICA OR CISI memberships
- ✓ A background in managing a small team or more junior staff.

fscom reserves the right to expand the criteria for this role dependent upon the number of applications received.

WHAT WILL I GET FROM THE ROLE?

You will have the opportunity to work for an award-winning consultancy business, with award winning experts in their field and with high-profile clients. This is an exciting opportunity to work with fscom at a critical time in our journey as we continue to grow and expand.

Our culture is friendly and promotes a stimulating working environment, where you will have a challenging and autonomous role that is critical to successful business delivery.

We will reward you with fast tracked opportunity and a competitive benefits package.

We are an equal opportunities employer, committed to Diversity & Inclusion and currently hold the Bronze standard in Diversity Mark NI.

To apply:

If you are interested in this role, please forward your CV to careers@fscom.co or speak to [Stuart Grimmond](#) on 028 9042 5451.



Diversity Mark