



JOB DESCRIPTION

Role: Compliance Associate – Asset Management

Location: Belfast, on a hybrid basis, we are a collaborative team and enjoy working remotely and in person together.

A leading Governance Risk and Compliance Consultancy

ABOUT FSCOM

fscom is one of the leading specialist consulting firms providing governance, risk, and compliance solutions to the asset management, capital markets, digital assets and payments industries across UK and Europe.

Over the last twelve years we have built a reputation for providing high quality advice to our clients, with our focus on being the leading subject matter experts in our field meaning that we have become widely recognised as the premier compliance consulting firm to the financial services sector.

At fscom, we take a commercial approach to help our clients grow their business in a compliant way providing in-depth regulatory insight and industry best practice in all areas: authorisation, assurance, financial crime, consumer protection, risk management, information security, and regulatory due diligence.

Often, our clients are exciting global brands at the cutting edge of innovation, where there is the opportunity to gain exposure undertaking varied and intellectually stimulating work, and to build relationships, becoming our clients' trusted advisors.

This is an exciting time to be joining fscom and be part of a successful, thriving business. We are on an ambitious and exciting growth journey having forged a strategic partnership with one of Europe's leading growth investors Bridgepoint in October 2022.

Our people are at the heart of our business and our success, and we are excited about the opportunities available to our current and prospective colleagues.

THE ROLE

As a Compliance Associate, you will be responsible for delivering support to our clients, and for providing them with guidance, advice and valuable insight. You will work as part of a team and alongside senior consultants and under the supervision of trailblazers and experts in this industry. With our deep domain expertise within the Asset Management industry, we will enable you to have the opportunity to engage in a variety of advisory, authorisation and assurance projects across a diverse range of exciting and cutting-edge clients.

These roles are a great development opportunity for individuals who wish to learn from the best, work with our experts and challenge themselves in innovating and developing with our clients. We will ensure you have

direct face time regularly with the leaders of our business to ensure your connection and learning. For those with more experience, we have opportunities for you to work with us as we build out specialisms and deliver for our clients. We are looking for candidates who demonstrate initiative, diligence in their work and analysis, and have the drive to learn and bring fresh approaches and develop their knowledge.

RESPONSIBILITIES

- ✓ Assisting with the provision of high-quality compliance work products to our clients
- ✓ Working and delivering on multiple projects across authorisations, advisory, audit and managed services.
- ✓ Partnering with our client base to understand their business and be able to design and implement their regulatory strategy.
- ✓ Undertaking compliance audits for clients and make appropriate recommendations which achieve regulatory compliance for the client
- ✓ Stay up to date with the ever-evolving regulatory requirements
- ✓ Any other duties as reasonably required

ESSENTIAL CRITERIA

- ✓ 1 + years' experience working within a governance, compliance role gained working in-house within a financial services institution or professional services advisory business Strong analytical skills with the ability to interpret complex information
- ✓ Displays professionalism in everything they do
- ✓ Ability to work with, and communicate information clearly and effectively to, both clients and team members
- ✓ Excellent organisational skills with the ability to manage numerous deadlines and projects simultaneously
- ✓ Excellent attention to detail and understands the importance of pride in the work delivered internally and to clients
- ✓ Quick to learn, curious with initiative, and constantly striving to develop technical expertise
- ✓ Collegiate outlook with the ability to work effectively as part of a cohesive team, whilst taking ownership for areas under their responsibility.
- ✓ A strong desire to build consultancy skills and client base in the future.

DESIRABLE CRITERIA

- ✓ Experience working within, or servicing, the asset management industry.

fscom reserves the right to expand the criteria for this role dependent upon the number of applications received.

WHAT WILL I GET FROM THE ROLE?

You will have the opportunity to work for an award-winning consultancy business, with award winning experts in their field and with high-profile clients. This is an exciting opportunity to work with fscom at a critical time in our journey as we continue to grow and expand.

Our culture is friendly and promotes a stimulating working environment, where you will have a challenging and autonomous role that is critical to successful business delivery.

We will reward you with fast tracked opportunity and a competitive benefits package.

We are an equal opportunities employer, committed to Diversity & Inclusion and currently hold the Bronze standard in Diversity Mark NI.

To apply:

If you are interested in this role, please forward your CV to careers@fscom.co or speak to [Stuart Grimmond](#) on 028 9042 5451.



Diversity Mark